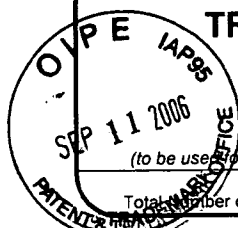


IFW

PTO/SB/21 (09-04)

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(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

32

Application Number 10/585,026

Filing Date June 29, 2006

First Named Inventor PURI, Basant Kumar

Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket Number 714/2

**ENCLOSURES (Check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement along with PTO/SB08A&B forms☐ and cited refs.  
Certified Copy of Priority Document(s)☐ Reply to Missing Parts/  
Incomplete Application  
☐ Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a

Provisional Application

☐ Power of Attorney, Revocation

Change of Correspondence Address

☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ Landscape Table on CD

Remarks

☐ After Allowance Communication to TC☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☐ Other Enclosure(s) (please identify  
below):

Return postcard.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Kaplan Gilman Gibson &amp; Dernier LLP (Customer No. 27538)

Signature

Printed name

Timothy X. Gibson

Date

September 8, 2006

Reg. No.

40,618

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Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
PURI, Basant Kumar

Application No.: 10/585,026

Filing Date: June 29, 2006

For: FORMULATION CONTAINING A  
CARBOXYLIC ACID OR AN ESTER  
THEREOF

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

Attorney Docket No.: 714/2

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendment  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant respectfully submits this Information Disclosure Statement, identification of the information, and copies thereof if required pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 in order to comply with the duty of disclosure. The enclosed Form PTO SB/08A/B identifies the references of which Applicant is aware. The Examiner is respectfully requested to consider the information herein and make it of record in the subject application.

☒ By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b) within three months of the filing date of the application or before the application has received a substantive Office Action. Accordingly, no fee is necessary; however, should the Patent Office determine that a fee is due, the Commissioner is authorized to charge any such fee to Deposit Account No. 11-0223.

☐ By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(c) before the mailing date of a Final Action, a Notice of Allowance, or close of prosecution. Accordingly included herewith is:

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Dated: September 8, 2006

Signature: Paula M. Halsey

Print Name: Paula M. Halsey

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- ☐ a statement below under 37 C.F.R. § 1.97(e).
- ☐ By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(d) after the mailing date of a Final Action, a Notice of Allowance, or close of prosecution, but before payment of the issue fee. Accordingly included herewith are:
- ☐ a check to cover the fee under 37 C.F.R. § 1.17(p), the Commissioner is authorized to charge any further fee to Deposit Account No. 11-0223; and
- ☐ a statement below under 37 C.F.R. § 1.97(e).

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Dated: September 8, 2006

Respectfully submitted,

By: 

Timothy X. Gibson

Registration No. 40,618

KAPLAN GILMAN GIBSON & DERNIER LLP

900 Route 9 North

Woodbridge, New Jersey 07095

Telephone (732) 634-7634

*Attorneys for Applicant*

SEP 11 2006

PTO/SB/08A (07-05)

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Subsidiary Form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	2
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**Complete if Known**

Application Number	10/585,026
Filing Date	06/29/06
First Named Inventor	Puri, Basant Kumar
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	714/2

## U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>8</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
/LR/	BA	ISR for PCT/GB2004/005461	12-31-2004	Igennus Ltd.		
/LR/	BB	EP0347056A1	12-20-1989	Efamol Holdings PLC		
/LR/	BC	EP0585026A1	03-02-1994	Scotia Holdings PLC		
/LR/	BD	JP04169525*	06-17-1992	Nissei Marine Kogyo KK		✓
/LR/	BE	EP1417963A1	05-12-2004	Laxdale Ltd.		

**Examiner  
Signature**

/Lezah Roberts/

Date  
Considered

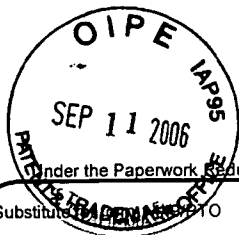
04/26/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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\*English translation of Abstract only.



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Substitute Paper No. 10

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/585,026
Filing Date	06/29/06
First Named Inventor	Puri, Basant Kumar
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	714/2

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/LR/	CA	BELCH, et al., Effects of altering dietary essential fatty acids on requirements for non-steriodial..., Annals of the Rheumatic Diseases, 1988: 47, 96-104.	
/LR/	CB	LATHA, A novel derivative pentacyclic triterpene and W3 fatty acid..., Prostaglandins, Leukotrienase and Essential Fatty Acids (2001) 64(2), 81-85.	

Examiner  
Signature

/Lezah Roberts/

Date  
Considered

04/26/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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